

# Internal appeals procedures

2018/19

These procedures are reviewed annually to ensure compliance with current regulations

Approved/reviewed by

Andy Lythgoe
Joanne Darby

Date of next review 01/09/2019

## 1. Appeals against internal assessment decisions (centre assessed marks)

This procedure confirms Bolton Sixth Form College's compliance with JCQ's General Regulations for Approved Centres 2018-2019, section 5.7 that the centre has in place "a written internal appeals procedure relating to internal assessment decisions and to ensure that details of this procedure are communicated, made widely available and accessible to all candidates" and that the centre "must inform candidates of their centre assessed marks. A candidate is allowed to request a review of the centre's marking before marks are submitted to the awarding body."

Certain components of GCSE and GCE qualifications (legacy GCE coursework, GCE and GCSE non-examination assessments) that contribute to the final grade of the qualification are internally assessed by the subject teacher. The marks awarded (the internal assessment decisions) are then submitted by the deadline set by the awarding body for external moderation.

Bolton Sixth Form College is committed to ensuring that whenever its staff mark candidates' work this is done fairly, consistently and in accordance with the awarding body's specification and subject-specific associated documents.

Bolton Sixth Form College ensures that all centre staff follow a robust *Non-examination* assessment policy (for the management of GCE and GCSE non-examination assessments). This policy details all procedures relating to non-examination assessments, including the marking and quality assurance processes which relevant teaching staff are required to follow.

Candidates' work will be marked by staff who have appropriate knowledge, understanding and skill, and who have been trained in this activity. Bolton Sixth Form College is committed to ensuring that work produced by candidates is authenticated in line with the requirements of the awarding body. Where a number of subject teachers are involved in marking candidates' work, internal moderation and standardisation will ensure consistency of marking.

On being informed of their centre assessed marks, if a candidate believes that the above procedures where not followed in relation to the marking of his/her work, or that the assessor has not properly applied the mark scheme to his/her marking, then he/she may make use of the appeals procedure below to consider whether to request a review of the centre's marking.

The following deadlines are different for Art Subjects – if you are studying an Art subject please speak to the Head of Art for your deadlines.

Candidates must submit their work for marking before 5<sup>th</sup> April in order to have the option of an appeal.

Please note that once coursework has been submitted for marking no further alterations, amendments or additions can be made.

1. Bolton Sixth Form College will ensure that candidates are informed of their centre assessed marks so that they may request a review of the centre's marking before marks are submitted to the awarding body. If a candidate wishes to have the option of making an appeal against the centre's marking, work must be handed into the subject teacher by 4 pm on 5<sup>th</sup> April. The candidate will be informed of their mark by 27<sup>th</sup> April.

A candidate loses their right to appeal if their work is handed in after 4 pm on the 5<sup>th</sup> April.

Candidates who wish to continue to work on and improve their internally assessed coursework may do so up to 4 pm on the 4<sup>th</sup> May.

- 2. Bolton Sixth Form College will inform candidates that they may request copies of materials (for example, a copy of their marked work, the relevant specification, the mark scheme and any other associated subject-specific documents) to assist them in considering whether to request a review of the centre's marking of the assessment.
- 3. Bolton Sixth Form College will, having received a request for copies of materials, promptly make them available to the candidate.
- 4. Requests for reviews of marking **must** be made prior to 4 pm on the **4**<sup>th</sup> **May** by completing the **internal appeals form** (available from Student Information).
- 5. There will be a fee of £60.00 which will need to be paid in full on submission of the internal appeals form. Payment to be made at Student Information.
- 6. Bolton Sixth Form College will allow sufficient time for the review to be carried out, to make any necessary changes to marks and to inform the candidate of the outcome, all before the awarding body's deadline.
- 7. Bolton Sixth Form College will ensure that the review of marking is carried out by an assessor who has appropriate competence, has had no previous involvement in the assessment of that candidate and has no personal interest in the review.
- 8. Bolton Sixth Form College will instruct the reviewer to ensure that the candidate's mark is consistent with the standard set by the centre.
- 9. The candidate will be informed in writing of the outcome of the review of the centre's marking.

The outcome of the review of the centre's marking will be made known to the head of centre. A written record of the review will be kept and made available to the awarding body upon request.

The moderation process carried out by the awarding bodies may result in a mark change, either upwards or downwards, even after an internal review. The internal review process is in place to ensure consistency of marking within the centre, whereas moderation by the awarding body ensures that centre marking is line with national standards. The mark submitted to the awarding body is subject to change and should therefore be considered provisional

In order for the candidate to have the opportunity to request a review of marking the following timescale must be followed to ensure the candidates marks are submitted to the board by the external deadline.

Submission date with option of an appeal Mark to be released to the candidate

Request for review of marking

by 4 pm on 5<sup>th</sup> April

27<sup>th</sup> April

by 4 pm on 4<sup>th</sup> May

A candidate loses their right to appeal if their work is handed in after 4 pm on 5<sup>th</sup> April.

# 2. Appeals against the centre's decision not to support a clerical check, a review of marking, a review of moderation or an appeal

This procedure confirms Bolton Sixth Form College's compliance with JCQ's General Regulations for Approved Centres 2018-2019, section 5.13 that the centre has in place "a written internal appeals procedure to manage disputes when a candidate disagrees with a centre decision not to support a clerical check, a review of marking, a review of moderation or an appeal..."

Following the issue of results, awarding bodies make post-results services available. Full details of these services, internal deadlines for requesting a service and fees charged are available from the Exams Officer.

Candidates are also informed of the arrangements for post-results services **before** they sit any exams and the accessibility of senior members of centre staff immediately after the publication of results.

If the centre or a candidate (or his/her parent/carer) has a concern and believes a result may not be accurate, a review of the result may be requested.

Reviews of Results (RoRs) offers three services.

- ► Service 1 clerical re-check
- Service 2 review of marking
- Service 3 review of moderation (this service is not available to an individual candidate)

Written candidate consent (informed consent via candidate email is acceptable) is required in all cases before a request for an RoR service 1 or 2 is submitted to the awarding body as with these services candidates' marks and subject grades may be lowered. Candidate consent can only be collected **after** the publication of results.

If a concern is raised about a particular examination result, the exams officer, teaching staff and head of centre will investigate the feasibility of requesting a review supported by the centre.

Where the centre does not uphold a request from a candidate, the candidate may pay the appropriate RoR fee to the centre, and a request will be made to the awarding body on the candidate's behalf.

If the candidate (or his/her parent/carer) believes there are grounds to appeal against the centre's decision not to support a review, an internal appeal can be submitted to the centre by completing the **internal appeals form** prior to the internal deadline for a request for a review.

The appellant will be informed of the outcome of his/her appeal before the internal deadline for submitting a RoR.

Following the RoR outcome, an external appeals process is available if the head of centre remains dissatisfied with the outcome and believes there are grounds for appeal. The JCQ publications *Post-Results Services* and *JCQ Appeals Booklet* (A guide to the awarding bodies'

appeals processes) will be consulted to determine the acceptable grounds for a preliminary appeal.

Where the head of centre is satisfied after receiving the RoR outcome, but the candidate (or his/her parent/carer) believes there are grounds for a preliminary appeal to the awarding body, a further internal appeal may be made to the head of centre. Following this, the head of centre's decision as to whether to proceed with a preliminary appeal will be based upon the acceptable grounds as detailed in the *JCQ Appeals Booklet*. Candidates or parents/carers are not permitted to make direct representations to an awarding body.

The **internal appeals form** should be completed and submitted to the centre within seven calendar days of the notification of the outcome of the RoR. Subject to the head of centre's decision, this will allow the centre to process the preliminary appeal and submit to the awarding body within the required **30 calendar days** of receiving the outcome of the review about results process. Awarding body fees which may be charged for the preliminary appeal must be paid to the centre by the appellant before the preliminary appeal is submitted to the awarding body (fees are available from the exams officer). If the appeal is upheld by the awarding body, this fee will be refunded by the awarding body and repaid to the appellant by the centre.]

# FOR CENTRE USE ONLY Internal appeals form Date received Please tick box to indicate the nature of your appeal and complete all Reference No. white boxes on the form below ☐ Appeal against an internal assessment decision and/or request for a review of marking ☐ Appeal against the centre's decision not to support a clerical check, a review of marking, a review of moderation or an appeal Candidate Name of name appellant if different to appellant Awarding Exam paper body code Exam paper Subject title Please state the grounds for your appeal below

This form must be signed, dated and returned to the exams officer on behalf of the head of centre to the timescale indicated in the relevant appeals procedure

If necessary, continue on an additional page if this form is being completed electronically or overleaf if hard copy being completed

Date of signature:

Where my appeal is against an internal assessment decision I wish to request a review of the centre's marking

(If applicable, tick below)

Appellant signature:

# Complaints and appeals log

On receipt, all appeals will be assigned a reference number and logged.

The outcome of any reviews of the centre's marking will be made known to the head of centre and will be logged as a complaint. A written record will be kept and made available to the awarding body upon request. Should the review of the centre's marking bring any irregularity in procedures to light, the awarding body will be informed immediately.

Ref No.	Date received	Complaint or Appeal	Outcome	Outcome date

## Further guidance to inform and implement appeals procedures

#### JCQ publications

- General Regulations for Approved Centres https://www.jcq.org.uk/exams-office/general-regulations
- Post-Results Services
   <a href="https://www.jcq.org.uk/exams-office/post-results-services">https://www.jcq.org.uk/exams-office/post-results-services</a>
- ► JCQ Appeals Booklet https://www.jcq.org.uk/exams-office/appeals
- Notice to Centres Reviews of marking (centre assessed marks) <a href="https://www.jcq.org.uk/exams-office/controlled-assessments">https://www.jcq.org.uk/exams-office/coursework</a> <a href="https://www.jcq.org.uk/exams-office/non-examination-assessments">https://www.jcq.org.uk/exams-office/non-examination-assessments</a>

### Ofqual publications

- ► GCSE (9 to 1) qualification-level conditions and requirements https://www.gov.uk/government/publications/gcse-9-to-1-qualification-level-conditions
- ► GCSE (A\* to G) qualification-level conditions and requirements <a href="https://www.gov.uk/government/publications/gcse-a-to-g-qualification-level-conditions-and-requirements">https://www.gov.uk/government/publications/gcse-a-to-g-qualification-level-conditions-and-requirements</a>
- ► GCE qualification-level conditions and requirements
  <a href="https://www.gov.uk/government/publications/gce-qualification-level-conditions-and-requirements">https://www.gov.uk/government/publications/gce-qualification-level-conditions-and-requirements</a>
- ► Pre-reform GCE qualification-level conditions and requirements <a href="https://www.gov.uk/government/publications/gce-qualification-level-conditions-for-pre-reform-qualifications">https://www.gov.uk/government/publications/gce-qualification-level-conditions-for-pre-reform-qualifications</a>